



## Broker Profile

Name of Broker: \_\_\_\_\_ Year Established: \_\_\_\_\_

Street Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Telephone: \_\_\_\_\_ Fax: \_\_\_\_\_ E-mail: \_\_\_\_\_

Website address (if applicable): \_\_\_\_\_

**CONTACTS:**

Principal (name, phone number and email): \_\_\_\_\_

Accounting (name, phone number and email): \_\_\_\_\_

CSR's/Underwriting: (name, phone number and email): \_\_\_\_\_

|                        | Business Mix % | Premium Volume | Commission % | States writing in | Companies Represented | Average Firm Size and/or Number of Firms |
|------------------------|----------------|----------------|--------------|-------------------|-----------------------|--|
| <b>Commercial</b>      | %              | \$             |              |                   |                       |  |
| <b>Professional</b>    | %              | \$             |              |                   |                       |  |
| <i>Lawyers PL</i>      |                |                |              |                   |                       |  |
| <i>Med Mal</i>         |                |                |              |                   |                       |  |
| <i>Architects</i>      |                |                |              |                   |                       |  |
| <i>Accountants</i>     |                |                |              |                   |                       |  |
| <i>Other:</i>          |                |                |              |                   |                       |  |
| <b>Personal</b>        | %              | \$             |              |                   |                       |  |
| <b>Life, A &amp; H</b> | %              | \$             |              |                   |                       |  |
| <b>TOTAL</b>           | %              | \$             |              |                   |                       |  |

1. Does your agency operate as a Wholesaler, Retailer, MGA with Binding Authority or Combination?  
 \_\_\_\_\_ % Wholesale          \_\_\_\_\_ % Retail          \_\_\_\_\_ % MGA Binding Authority
2. Does your agency hold an E & S license?     Yes     No
3. Do you generate sub-produced business?     Yes     No    (If "Yes," please explain on a separate sheet of paper).
4. Do you have an exclusive agreement or have underwriting authority on behalf of another Lawyers Professional Liability carrier?     Yes     No
5. Has any member of your Agency received any action by a State Insurance Department or other regulatory Agency?  
 Yes     No    (If "Yes," please explain on a separate sheet of paper).
6. Is there any pending or threatened litigation or judgments within the past five (5) years against any of your Brokers, Agents or any of the Principals?     Yes     No    (If "Yes," please explain on a separate sheet of paper).

**\*Please attach completed Brokerage Agreement, W9, Agency license, Agent (producer) license and E&O certificate with Broker Profile.**